



ANNEXURE III TO DIRECTORS' REPORT

Form No. MGT - 9

Extract of Annual Return

as on the financial year on 31st March, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i.	CIN	L45200MH1974PLC017653
ii.	Registration Date	31st July, 1974
iii.	Name of the Company	PBA INFRASTRUCTURE LIMITED
iv.	Category / Sub-Category of the Company	Public Company / Limited by Shares Company
v.	Address of the Registered office and contact Details Tel. No. Fax No. Email	Prakash, 611/3, VN Purav Marg, Chembur, Mumbai - 400071 022 6127 7200 / 1 / 2 022 6127 7203 pbamumbai@gmail.com info@pbainfra.com
vi.	Whether listed Company	Yes
vii.	Name, Address and Contact details of Registrar & Transfer Agents (RTA), if any	M/s. LINK INTIME INDIA PVT. LTD. C 101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400083 • Phone: +91 22 49186000 Fax: +91 22 49186060 Email : mumbai@linkintime.co.in rnt.helpdesk@linkintime.co.in Website : www.linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

Sr. No.	Name and Description of main Products /Services	NIC Code	% to the Total Turnover of the Company
1	Construction Services (Infrastructure projects including road work, bridgework and irrigation projects)	42101	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: N.A.

Sr. No.	Name and Address of the company	CIN/GLN	Holding/Subsidiary/ Associate	% of Shares Held	Applicable Section

PBA INFRASTRUCTURE LIMITED

IV. Shareholding Pattern (Equity Share Capital Break up as percentage of Total Equity)

i) Categorywise Share holding

Category of shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year			% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	% of Total shares	
(A) Shareholding of Promoter and Promoter Group								
[1] Indian								
(a) Individuals / HUF	7384255	0	7384255	54.6959	7384255	0	54.6959	0.0000
(b) Central Government	0	0	0	0.0000	0	0	0.0000	0.0000
(c) Financial Institutions/ Banks	0	0	0	0.0000	0	0	0.0000	0.0000
(d) Any Other (Specify)								
Sub Total (A)(1)	7384255	0	7384255	54.6959	7384255	0	54.6959	0.0000
[2] Foreign								
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.0000	0	0	0.0000	0.0000
(b) Government	0	0	0	0.0000	0	0	0.0000	0.0000
(c) Institutions	0	0	0	0.0000	0	0	0.0000	0.0000
(d) Foreign Portfolio Investor	0	0	0	0.0000	0	0	0.0000	0.0000
(e) Any Other (Specify)								
Sub Total (A)(2)	0	0	0	0.0000	0	0	0.0000	0.0000
Total Shareholding of Promoter and Promoter								
Group(A)=(A)(1)+(A)(2)	7384255	0	7384255	54.6959	7384255	0	54.6959	0.0000
(B) Public Shareholding								
[1] Institutions								
(a) Mutual Funds/UTI	0	0	0	0.0000	0	0	0.0000	0.0000
(b) Venture Capital Funds	0	0	0	0.0000	0	0	0.0000	0.0000
(c) Alternate Investment Funds	0	0	0	0.0000	0	0	0.0000	0.0000
(d) Foreign Venture Capital Investors	0	0	0	0.0000	0	0	0.0000	0.0000
(e) Foreign Portfolio Investor	4	0	4	0.0000	4	0	0.0000	0.0000
(f) Financial Institutions/Banks	0	0	0	0.0000	0	0	0.0000	0.0000
(g) Insurance Companies	0	0	0	0.0000	0	0	0.0000	0.0000
(h) Provident Funds/Pension Funds	0	0	0	0.0000	0	0	0.0000	0.0000
(i) Any Other (Specify)								
Sub Total (B)(1)	4	0	4	0.0000	4	0	0.0000	0.0000
2) Central Government/ State Government(s)/ President of India								
Sub Total (B)(2)	0	0	0	0.0000	0	0	0.0000	0.0000



Category of shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year			% Change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	% of Total shares	
[2] Central Government/ State Government(s)/ President of India								
Sub Total (B)(2)	0	0	0	0.0000	0	0	0.0000	0.0000
[3] Non-Institutions								
(a) Individuals								
(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh.	4215650	6	4215656	31.2258	4212678	306	31.2060	-0.0198
(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	1186598	0	1186598	8.7892	1264215	0	9.3642	0.5750
(b) NBFCs registered with RBI	0	0	0	0.0000	0	0	0.0000	0.0000
(d) Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0.0000	0	0	0.0000	0.0000
(c) Any Other (Specify)								
IEPF	18579	0	18579	0.1376	18579	0	0.1376	0.0000
Hindu Undivided Family	359833	0	359833	2.6653	365465	0	2.7070	0.0417
Non Resident Indians (Non Repat)	32567	0	32567	0.2412	30946	0	0.2292	-0.0120
Non Resident Indians (Repat)	77439	0	77439	0.5736	64523	0	0.4779	-0.0957
Clearing Member	4294	0	4294	0.0318	4294	0	0.0318	0.0000
Bodies Corporate	221337	0	221337	1.6395	155297	0	1.1503	-0.4892
Sub Total (B)(3)	6116297	6	6116303	45.3041	6115997	306	45.3041	0.0000
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	6116301	6	6116307	45.3041	6116001	306	45.3041	0.0000
Total (A)+(B)	13500556	6	13500562	100.0000	13500256	306	100.0000	0.0000
(C) Non Promoter - Non Public								
(C1) Shares Underlying DRs								
[1] Custodian/DR Holder	0	0	0	0.0000	0	0	0.0000	0.0000
(C2) Shares Held By Employee Trust								
[2] Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0.0000	0	0	0.0000	0.0000
Total (A)+(B)+(C)	13500556	6	13500562	100.0000	13500256	306	100.0000	

ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year		
		No. of Shares held	% of total Shares of the Company	% of Shares Pledged / encumbered to total Shares	No. of Shares held	% of total shares of the Company	% of Shares Pledged / encumbered to total Shares
1	Late Shri Ramlal Roshanlal Wadhawan	3959785	29.3305	29.3305	3959785	29.3305	29.3305
2	Balkrishan Wadhawan	802280	5.9426	5.9426	802280	5.9426	5.9426
3	Narayan Ganesh Thatte	636720	4.7162	0.0000	636720	4.7162	0.0000
4	Subhashchadnra Pritamlal Wadhawan	567280	4.2019	0.0000	567280	4.2019	0.0000
5	Sunil Ramlal Wadhawan	260676	1.9309	0.0000	260676	1.9309	0.0000
6	Vishal Balkrishan Wadhawan	257284	1.9057	0.0000	257284	1.9057	0.0000
7	Deepak Ramlal Wadhawan	255870	1.8953	0.0000	255870	1.8953	0.0000
8	Neena B. Wadhawan	254840	1.8876	0.0000	254840	1.8876	0.0000
9	Monica M. Talwar	250760	1.8574	0.0000	250760	1.8574	0.0000
10	Veena Wadhawan	138760	1.0278	0.0000	138760	1.0278	0.0000
	Total	7384255	54.6959	35.2731	7384255	54.6959	35.2731

(iii) Change in promoters Shareholding (please specify if there is no change)

SR. No	Shareholder's Name	Shareholding at the beginning of the year			Cumulative shareholding during the year		
		No. of shares	% of Total Shares of the Company	Date of Transaction	No. of Shares	No. of Shares held	% of Total shares of the Company
1	Late Shri Ramlal Roshanlal Wadhawan at the end of the year	3959785	29.33	No Changes during the year		3959785 3959785	29.33 29.33
2	Balkrishan Wadhawan at the end of the year	802280	5.94			802280 802280	5.94 5.94
3	Narayan Ganesh Thatte at the end of the year	636720	4.72			636720 636720	4.72 4.72
4	Subhashchandra Pritamlal Wadhawan at the end of the year	567280	4.20			567280 567280	4.20 4.20
5	Sunil Ramlal Wadhawan at the end of the year	260676	1.93			260676 260676	1.93 1.93
6	Vishal Balkrishan Wadhawan at the end of the year	257284	1.91			257284 257284	1.91 1.91
7	Deepak Ramlal Wadhawan at the end of the year	255870	1.90			255870 255870	1.90 1.90
8	Neena B. Wadhawan at the end of the year	254840	1.89			254840 254840	1.89 1.89
9	Monica M. Talwar at the end of the year	250760	1.86			250760 250760	1.86 1.86
10	Veena Wadhawan at the end of the year	138760	1.03			138760 138760	1.03 1.03

(iv) Shareholding pattern of top ten shareholders (other than Directors, Promoters and holders of GRDs and ADRs)

SR. No	Shareholder's Name	Shareholding at the beginning of the year			Cumulative shareholding during the year		
		No. of shares	% of Total Shares of the Company	Date of Transaction	No. of Shares	No. of Shares held	% of Total shares of the Company
1	Shaktipal J Sabarwal at end of the year	157382	1.1657	No Changes during the year		157382	1.1657
2	Anjali Neeraj Hardikar at end of the year	100000	0.7407			157382	1.1657
3	Shakti Jashpal Sabharwal at end of the year	76316	0.5653			100000	0.7407
4	Ramya Venkat at end of the year	62108	0.4600			100000	0.7407
5	Bharat N Shah at end of the year	60000	0.4444			76316	0.5653
6	Siddharth Sanjay Zaveri at end of the year	42500	0.3148			76316	0.5653
7	Amita Surana at end of the year	41500	0.3074			62108	0.4600
8	Ramakant Ramniwas Kasat at end of the year	41091	0.3044			62108	0.4600
9	Prem Chand Gupta at end of the year	40444	0.2996			60000	0.4444
10	Sandip Mahendra Parikh at end of the year	37090	0.2747		60000	0.4444	
					42500	0.3148	
					42500	0.3148	
					41500	0.3074	
					41500	0.3074	
					41091	0.3044	
					41091	0.3044	
					40444	0.2996	
					40444	0.2996	
					37090	0.2747	
					37090	0.2747	

(v) Shareholding of Directors and Key Managerial Personnel:

SR. No	Name of type of Transactions	Shareholding at the beginning of the year			Cumulative shareholding during the year		
		No. of shares	% of Total Shares of the Company	Date of Transaction	No. of Shares	No. of Shares held	% of Total shares of the Company
1	Narain P. Belani at the end of the year	20038	0.15	No Changes during the year		20038	0.15
2	Sujata D. Athavale at the end of the year	10	0		20038	0.15	
3	Munish R. Wadhawan at the end of the year	0	0		10	0	
4	Anil Parvatkar at the end of the year	134	0		10	0	
5	Shallu R. Khanna at the end of the year	0	0		0	0	
6	Yudhishter Lal Gadi at the end of the year	0	0		0	0	
7	Swaminath Jaiswar at the end of the year	0	0		134	0	
						0	0

V. INDEBTNESS:

Indebtedness of the company including interest outstanding/accrued but not due for payment

	Secured Loans	Unsecured Loans	Deposit	Total Indebtness
Indebtedness at the Beginning of the financial year				
i) Principal Amount	2,28,34,26,837	48,12,49,202	-	2,76,46,76,039
ii) Intesrest due but not paid	1,59,69,89,396	2,00,52,050	-	1,61,70,41,446
iii) Interest accrued but not due	-	-	-	-
Total(i +ii +iii)	3,88,04,16,233	50,13,01,252	-	4,38,17,17,485
Change in Indebtness during the financial year	-	-	-	-
• Addition	-	-	-	-
• Reduction	(5,81,11,360)	(1,81,51,575)	-	(7,62,62,935)
Net Change	(5,81,11,360)	(1,81,51,575)	-	(7,62,62,935)
Indebtedness at the End of the financial year	-	-	-	-
i) Principal Amount	2,22,53,15,477	46,43,49,202	-	2,68,96,64,679
ii) Interest due but not paid	1,59,69,89,396	1,88,00,475	-	1,61,57,89,871
iii) Interest accrued but not due	-	-	-	-
Total (i +ii +iii)	3,82,23,04,873	48,31,49,677	-	4,30,54,54,550

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager			Total Amount
		Mr. Narain Pirmal Belani	Mrs. Sujata D. Athavale	Mr. Munish R. Wadhawan	
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	48,00,000	8,40,000	9,60,000	66,00,000
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-
	(c) Profit in lieu of salary under Section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- As % of Profit	-	-	-	-
	- Others, specify	-	-	-	-
5	Others, Please Specify				
	- Allowance	2,40,000	2,82,150	1,80,000	7,02,150
	- Bonus	-	53,666	53,000	1,06,666
	Total	50,40,000	11,75,816	11,93,000	74,08,816
	Less : TDS	13,12,480	1,13,915	1,09,824	15,36,219
	Less : MSPT	-	2,500	2,500	5,000
	Total (A)	37,27,520	10,59,401	10,80,676	58,67,597
	Ceiling as per the Act, 2013	The Remuneration paid to Managing Director and Whole-time Director is within the ceiling prescribed under the Companies Act, 2013			

B. Remuneration to other directors

Sr. No.	Particulars of Remuneration	Name of Director	Amount (Rs)
1.	Independent Director	Anil Parvatkar	1,00,000
2.	Independent Director	Shallu R. Khanna	1,00,000
3.	Independent Director	Yudhister Lal Gadi	1,00,000
		TOTAL	3,00,000
	Ceiling as per the Act, 2013	Non-Executive Directors are not being paid remuneration except sitting fees which is within the limits prescribed under the Act	

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SR. No.	Particulars of Remuneration	Company Secretary & Compliance Officer	Total Amount
		Swaminath C. Jaiswar	
1	Gross Salary		
	(a) Salary as per provision contained in section 17(1) of the Income -Tax Act, 1961	6,00,000	6,00,000
	(b) Value of perquisites u/s 17(2) Income -Tax Act, 1961	-	-
	(c) Profits in lieu of Salary u/s 17(3) Income -Tax Act, 1961	-	-
2	Stock option	-	-
3	Sweat Equity	-	-
4	Commision	-	-
	- as% of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	
	- Allowances	1,38,000	1,38,000
	- Bonus	38,333	38,333
	Total	7,76,333	7,76,333
	Less : TDS & MSPT	2,500	2,500
	Total (A)	7,73,833	7,73,833
	Ceiling as per the Act, 2013	-	

VII) DETAILS OF PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/ Court] details)	Appeal made, if any (give)
A. COMPANY					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
B. DIRECTORS					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
C. OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil