



## **PBA INFRASTRUCTURE LTD.**

### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Swaminath C. Jaiswar, Compliance Officer of PBA Infrastructure Limited ( ‘the Company’) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 01 number of events during the quarter ended and has captured 01 number of the said required events.

I would like to report that there were no non-compliances which were observed in the previous quarter.

**For PBA Infrastructure Limited**

**Swaminath Jaiswar**  
**Compliance Officer**

Date: Mumbai

Place: 08/04/2023